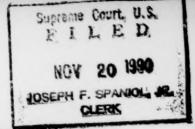
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No.



# In the Supreme Court of the United States

OCTOBER TERM, 1990

CHARLES HOUSTON, PETITIONER

ν.

UNITED STATES OF AMERICA, RESPONDENT

# PETITION FOR A WRIT OF CERTIORARI TO THE UNITED STATES COURT OF MILITARY APPEALS

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November 1990



## QUESTION PRESENTED

Whether a brief filed pursuant to *United States v*. *Grostefon*, 12 M.J. 431 (C.M.A. 1982), constitutes a denial of due process since this practice operates to effectively redefine and abrogate the attorney-client relationship.



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# In the Supreme Court of the United States

OCTOBER TERM, 1990

No.

CHARLES HOUSTON, PETITIONER

V.

UNITED STATES OF AMERICA, RESPONDENT

# PETITION FOR A WRIT OF CERTIORARI TO THE UNITED STATES COURT OF MILITARY APPEALS

The petitioner, Charles Houston, respectfully prays that a writ of certiorari issue to review the judgment of the United States Court of Military Appeals entered in his case on August 23, 1990.

#### OPINIONS BELOW

The United States Air Force Court of Military Review issued an unreported decision on March 9, 1989 (Appendix A). This same court issued a subsequent decision on May 10, 1990 (Appendix B). The second decision was issued in response to a remand from the United States Court of Military Appeals. The order for the remand is reported at 30 M.J. 21 (C.M.A. 1990) (Appendix C). On August 23, 1990 the United States Court of Military Appeals issued a short form decision that is reported at \_\_\_\_\_ M.J. \_\_\_\_ (C.M.A. 1990) (Appendix D).

#### JURISDICTION

The fina, order of the United States Court of Military Appeals was entered on August 23, 1990. The jurisdiction of this Court is invoked under 28 U.S.C. § 1259(3) (Supp 1989) and 10 U.S.C. § 867(h) (Supp. 1989).

#### STATEMENT OF THE CASE

The petitioner, an Air Force master sergeant (E-7), was tried by a general court-martial on August 19, 1988, at Spangdahlem Air Base, Germany. Pursuant to his pleas, he was convicted of multiple sexual offenses against his teenage daughter. The petitioner was sentenced to a dishonorable discharge, confinement for 13½ years, and a reduction to airman basic (E-1).

After trial the petitioner exercised his statutory right to appellate review and appellate representation. Thereafter, appellate defense counsel was appointed, and filed a brief with the United States Air Force Court of Military Review. Appellate defense counsel asserted that the petitioner was sentenced on the basis of erroneous information concerning the length of his military service. The United States Air Force Court of Military Review affirmed the petitioner's case.

The petitioner subsequently petitioned the United States Court of Military Appeals. This time appellate defense counsel filed a "Grostefon brief." This brief was based upon three new errors that were personally assigned by the petitioner. The petitioner asserted these errors in an affidavit that accompanied appellate defense counsel's brief. Appellate defense counsel briefed these issues by way of summarizing the petitioner's assignment of errors. Pursuant to a Government motion, the petitioner's case was remanded to the United States Air Force Court of Military Review. Once again, the petitioner's case was affirmed.

<sup>&</sup>lt;sup>1</sup> United States v. Grostefon, 12 M.J. 431 (C.M.A. 1982).

Appellant defense counsel subsequently filed another "Grostefon brief" with the United States Court of Military Appeals. Once again, the same format was utilized for raising the petitioner's contentions. The United States Court of Military Appeals affirmed without discussion.

#### REASONS FOR GRANTING THE WRIT

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The role of appellate defense counsel and the United States Court of Military Appeals must be analyzed in accordance with *United States v. Grostefon*, 12 M.J. 431 (C.M.A 1982).<sup>2</sup> This decision provides as follows:

Thus, the proper procedure for appellate defense counsel, after consultation with the accused, is to identify the issue to the appellate court and to supply such briefs and argument as he feels will best advance his client's interest . . . Appellate defense counsel may well wish to restate issues in a manner they believe will be more responsive to the courts before which they practice. However, we do not believe that appellate defense counsel can ignore the issues urged from below without the express consent of the accused, after proper advice.

United States v. Grostefon, 12 M.J. at 435-436.

<sup>&</sup>lt;sup>2</sup> The petitioner is not attacking or otherwise challenging appellate defense counsel's competence. Instead, this petition is aimed at the artificial constraints that *Crostefon* imposed upon appellate defense counsel. In this regard, it should be recognized that "[a]ppellate defense counsel should not seek to withdraw from a case solely on the basis of his or her own determination that the appeal lacks merit." Standard 4-8.3, Rules of Professional Responsibility and Air Force Standards for the Administration of Criminal Justice (1989). Interestingly, the accompanying discussion cites *United States v. Grostefon*, in support of this ethical standard. *See Discussion to Standard 4-8.3*.

The Court of Military Appeals stressed that the "identification of the issue does not necessitate extensive briefing." *Id.* at 437. However, appellate defense counsel is obligated to advance *Grostefon* issues until such time as the accused consents to the withdrawal or abandons the same. *Id.* Finally, *Grostefon* held that "the Court of Military Review will, at a minimum, acknowledge that it has considered those issues enumerated by the accused and its disposition of them." *Id.* at 436.

The Grostefon decision was revisited in United States v. Healy, 26 M.J. 394 (C.M.A. 1988). The Court emphasized that "the purpose of our holding in Grostefon was to assure that an accused had the opportunity to bring to the attention of the appellate court any issue he wished to have considered. . . ." Id. at 397. The Court reiterated that appellate defense counsel merely had to "identify" issues. Id. That is, "if the issue has been identified as possibly meritorious, the Court may require briefs thereon." Id.

#### H

In Anders v. California, 386 U.S. 738 (1967), this Court addressed appellate counsel's role in relation to frivolous issues. In Anders the petitioner was represented by a court-appointed appellate counsel. Counsel determined that there was no merit to the appeal, and as such, he so advised the petitioner and the court. Counsel also advised the petitioner about filing a brief on his own behalf. The court granted counsel's motion to withdraw. When the court refused the petitioner's request for another attorney, the petitioner proceeded on a pro se basis. In condemning these procedures this Court noted the following:

The constitutional requirement of substantial equality and fair process can only be attained where

counsel acts in the role of an active advocate in behalf of his client, as opposed to that of *amicus curiae*.

Id. at 744.

This Court held that counsel should either "support his client's appeal to the best of his ability" or if he finds the case is "wholly frivolous" he should request permission to withdraw. *Id.* Of course, the request to withdraw must be "accompanied by a brief referring to anything in the record that might arguably support the appeal." *Id.* Logically, this approach would "induce the court to pursue all the more vigorously its own review because of the ready references . . . to the legal authorities as furnished it by counsel." *Id.* at 745.

In *Penson v. Ohio*, 488 U.S. 75 (1988), a court-appointed appellate counsel made a motion to withdraw because he assessed the petitioner's appeal as meritless. While the state court granted counsel's motion to withdraw, the court refused to appoint new counsel. Instead, the court held that it would conduct its own independent review of the petitioner's record. Ultimately, the court granted the petitioner some relief.

This Court rejected the state's approach being contrary to the *Anders* decision. Namely, counsel's conclusory statements provided an inadequate basis for evaluating counsel's performance and for evaluating the potential appellate issues. Accordingly, this Court held that the petitioner was denied assistance of counsel on his appeal. Moreover, this error was treated as presumptively prejudicial.

#### 111

The instant case engenders the same vexing concerns that were expressed in *Anders* and *Penson*. That is, *Grostefon*, as applied, amounts to nothing more than

pro se advocacy. First, appellate defense counsel is only obligated to "identify" issues. United States v. Healy, 26 M.J. at 397. Obviously, this is not consistent with notions of forceful advocacy. Moreover, this practice does nothing to advance the cause of marshalling arguments on a client's behalf. Anders v. California, 386 U.S. at 741. Interestingly, no offsetting restrictions are placed on the Government's response. In the instant case, the Government torpedoed the petitioner's "Grostefon brief" with an authoritative reply. See Gov't Brief of April 23, 1990.

Second, *Grostefon* charges the client with the responsibility of identifying his own issues. *United States v. Healy*, 26 M.J. at 397. Consequently, this practice ignores the reality that "[e]ven the intelligent and educated layman has small and sometimes no skill in the science of law." *Powell v. Alabama*, 287 U.S. 45, 69 (1932). Yet, this is the very lay analysis upon which *Grostefon* rests.

Finally, the Court of Military Appeals is not even obligated to conduct its own searching review of the record. Instead, this responsibility has been shifted to the various Courts of Military Review. *United States v. Grostefon*, 12 M.J. at 436. Obviously, this approach is inapposite with the "full examination" that is contemplated by this Court. *Anders v. California*, 386 U.S. at 744.

#### CONCLUSION

This case warrants Supreme Court intervention. That is, the *Grostefon* practice, as crafted by the nation's highest military court, amounts to a systematic denial of due process. By redefining the role of the attorney-client relationship, *Grostefon* effectively left this petitioner to shift for himself. In the final analysis, *Grostefon* amounts to nothing more than *pro se* advocacy under the guise of appellate representation. Surely, such a practice is at sharp

odds with our treasured notions of American due process. Therefore, his Petition for Writ of Certiorari should be granted.

# Respectfully submitted,

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November 1990



APPENDICES



#### APPENDIX A

# UNITED STATES AIR FORCE COURT OF MILITARY REVIEW

## ACM 27357

## UNITED STATES

11.

MASTER SERGEANT CHARLES HOUSTON, FR 346-42-2592 United States Air Force

### 9 MARCH 1989

Sentence adjudged 19 August 1988 by GCM convened at Spangdahlem Air Base, Federal Republic of Germany. Military Judge: Edward M. Starr (sitting alone).

Approved Sentence: Dishonorable discharge, confinement for one hundred sixty-two (162) months and reduction to airman basic.

Appellate Counsel for the Appellant: Colonel Richard F. O'Hair and Captain Darla G. Orndorff. Appellate Counsel for the United States: Colonel Joe R. Lamport; Lieutenant Colonel Robert E. Giovagnoni; Major Kathryn I. Taylor; Major Terry M. Petrie and Major Mark C. Ramsey, USAFR.

Before
HODGSON, HOLLE and PRAIL
Appellate Military Judges

#### DECISION

### PER CURIAM:

The appellant pleaded guilty to repeatedly sexually abusing his daughter over a period of several years. His acts of rape, anal sodomy, carnal knowledge, and indecent acts began when she was 13 years old and continued until she was almost 17.

During the sentencing portion of the proceedings the assistant trial counsel incorrectly informed the trial judge, who was sitting alone, that the appellant had 17 years, three months and three days of active military service. The truth being that the appellant had over 20 years of active duty.

Appellate defense commsel suggest that "the twenty year mark is a significant anniversary in the military for years of service [as] it marks the time when a member becomes eligible to retire." They argue that this misstatement as to the appellant's length of service may have mislead [sic] the military judge to adjudge a more severe sentence than he would have otherwise done.

In our view counsel's misstatement as to the appellant's time in the military had no impact whatever [sic] on the announced sentence for two reasons: First, the trial judge had considerable evidence before him that the appellant was retirement eligible—the Stipulation of Fact outlining the circumstances of the offenses stated in the opening paragraph that the appellant had been on active duty since 14 February 1968. The appellant, in his unsworn statement, twice informed the judge he had over 20 years service. Finally, in his closing argument, the trial defense counsel reminded the judge that the appellant had been in the Air Force "for more than 20 years;" and, Second, the sentence is entirely appropriate for the appellant's offenses

regardless of his length of service. The findings of guilty and the sentence are

AFFIRMED.

## OFFICIAL:

Mary V. Fillman

Mary V. Fillman

Captain, USAF

Chief Commissioner

#### APPENDIX B

# UNITED STATES AIR FORCE COURT OF MILITARY REVIEW

# ACM 27357 (f rev)

UNITED STATES

V.

Master Sergeant Charles Houston, FR 346-42-2592 United States Air Force

## 10 MAY 1990

Sentence adjudged 19 August 1988 by GCM convened at Spangdahlem Air Base, Federal Republic of Germany. Military Judge: Edward M. Starr (sitting alone).

Approved Sentence: Dishonorable discharge, confinement for one hundred sixty-two (162) months and reduction to airman basic.

Appellate Counsel for the Appellant: Colonel Richard F. O'Hair and Captain Darla G. Orndorff. Appellate Counsel for the United States: Colonel Joe R. Lamport; Colonel Robert E. Giovagnoni; Major Kathryn I. Taylor; Major Terry M. Petrie; Major Paul H. Blackwell, Jr.; Captain Leonard R. Rippey and Major Mark C. Ramsey, USAFR.

Before

HODGSON, SPILLMAN and PRATT Appellate Military Judges

### DECISION UPON FURTHER REVIEW

## PER CURIAM:

On 9 March 1989, we affirmed the appellants's guilty plea conviction for rape, anal sodomy, carnal knowledge, and indecent acts with his teenage daughter. These offenses took place over approximately three years. We also affirmed a sentence that included a dishonorable discharge, 13 years and six months confinement, and reduction to airman basic.

On 8 January 1990, the Court of Military Appeals remanded the appellant's record of trial to this Court for further review based upon his Grostefon\* submission that his appointed defense counsel only "half-heartedly represent[ed]" him and were lacking in "basic ethics and competence." United States v. Houston, 30 M.J. 21 (C.M.A. 1990). In the same document, he claims that the government was guilty of "gross negligence" in 1972, when it erroneously certified him to be medically qualified for Air Traffic Controller duties even though he had an "abnormal heart condition." He also asserts that in 1980, the Air Force caused his wife to undergo two painful and unnecessary back operations. Additionally, he maintains he was given incorrect advice in 1972, which cost him over \$18,000.00 in a re-enlistment bonus over the next 12 years. Finally, he urges that his "daughter was misued by the government" prior to trial and her "emotional, psychological, medical and security needs [during this period] were neglected." In summary, the appellant contends that his incestuous relationship with his daughter was the result of stress brought about by the government's "gross negligence" in assigning him Air Traffic Controller duties, making his wife submit to two unnecessary opera-

<sup>\*</sup> United States v. Grostefon, 12 M.J. 431 (C.M.A. 1982).

tions, and creating a financial hardship by giving him improper advice about his re-enlistment bonus.

We have said it before, but it bears repeating—individuals convicted of crimes tend to blame their lawyers and others for their misfortune. The law presumes that counsel are effective and act in their client's best interests. Accordingly, the burden of establishing otherwise is placed on the person who claims he was not properly defended. To rebut this presumption, an individual who maintains he was not adequately represented must identify specific conduct which was unreasonable under acceptable professional standards. *United States v. Washington*, 29 M.J. 536 (A.F.C.M.R. 1989); *pet. denied*, 30 M.J. 38 (C.M.A. 1990).

The appellant has assigned a litany of complaints against his assigned counsel which we have examined in conjuction with an affidavit from his trial defense counsel. We consider the appellant's assertions of ineffective assistance of counsel to be devoid of merit and totally unfounded.

One of the appellant's claims was that his counsel were "timid" and "didn't want to make the government angry." Trial defense counsel agreed that he did not want to "throw stones" as there was no reason "to pick a fight" with the prosecution since it had the "[appellant's] confession, a willing witness, and medical evidence to support their case." By reasonable discussions with the government, the defense was able to get four specifications dismissed.

In sum, we conclude that the appellant's counsel acted in a professional and effective manner which provided the appellant vigorous representation. Upon further review, the findings of guilty and the sentence are again

## **AFFIRMED**

OFFICIAL:

Mary V. Fillman

Mary V. Fillman

Captain, USAF

Chief Commissioner

#### APPENDIX C

# UNITED STATES COURT OF MILITARY APPEALS

No. 63512/AF CMR 27357

UNITED STATES

V.

CHARLES HOUSTON

# PETITIONS FOR GRANT OF REVIEW – OTHER SUMMARY DISPOSITION

On consideration of appellant's motion to file affidavit and appellee's motion to remand case to the United States Air Force Court of Military Review, it is ordered that said motions are hereby granted; that the decision of the United States Air Force Court of Military Review is set aside; and that the record of trial is returned to the Judge Advocate General of the Air Force for remand to that court for further review.

### APPENDIX D

# UNITED STATES COURT OF MILITARY APPEALS

# USCMA Dkt. No. 63512/AF CMR Dkt. No. 27357

UNITED STATES, APPELLEE

V.

CHARLES HOUSTON, (346-42-2592), APPELLANT

On consideration of the petition for grant of review of the decision of the United States Air Force Court of Military Review on remand, we conclude that the action taken by that court was adequate as a matter of law. Accordingly, it is, by the Court, this 23rd day of August, 1990

## ORDERED:

That said petition is granted; and

That the decision of the United States Air Force Court of Military Review on remand is affirmed.

For the Court,

/s/ John A. Cutts, III
Deputy Clerk of the Court

cc: The Judge Advocate General of the Air Force Appellate Defense Counsel (ORNDORFF) Appellate Government Counsel (BLACKWELL)



No. 90-799

FILED

DEC 20 200

JOSEPH F. SPANIOL, JR. CLERK

# In the Supreme Court of the United States

OCTOBER TERM, 1990

CHARLES HOUSTON, PETITIONER

v.

UNITED STATES OF AMERICA

ON PETITION FOR A WRIT OF CERTIORARI TO THE UNITED STATES COURT OF MILITARY APPEALS

## BRIEF FOR THE UNITED STATES IN OPPOSITION

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## QUESTION PRESENTED

Under *United States* v. *Grostefon*, 12 M.J. 431 (C.M.A. 1982), a servicemember may himself raise legal claims that his counsel has decided not to present to a military appellate court. The question presented is whether that practice violates the Due Process Clause.



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# In the Supreme Court of the United States

OCTOBER TERM, 1990

No. 90-799

CHARLES HOUSTON, PETITIONER

v.

UNITED STATES OF AMERICA

ON PETITION FOR A WRIT OF CERTIORARI TO THE UNITED STATES COURT OF MILITARY APPEALS

### BRIEF FOR THE UNITED STATES IN OPPOSITION

### OPINIONS BELOW

The opinions of the Air Force Court of Military Review, Pet. App. 1a-3a, 4a-7a, are unreported. The opinions of the Court of Military Appeals, Pet. App. 8a, 9a, are not yet officially reported. An earlier order of the Court of Military Appeals is reported at 30 M.J. 21.

## JURISDICTION

The judgment of the Court of Military Appeals was entered on August 23, 1990. The petition for a writ of certiorari was filed on November 20, 1990. The jurisdiction of this Court is invoked under 28 U.S.C. 1259(3).

#### STATEMENT

Following a general court-martial at Spangdahlehm Air Base in Germany, petitioner, a member of the United States Air Force, was convicted of rape, anal sodomy, carnal knowledge, and indecent acts with his teenage daughter, in violation of Articles 80, 120, 125, and 134 of the Uniform Code of Military Justice (UCMJ), 10 U.S.C. 880, 920, 925, and 934. Petitioner was sentenced to confinement for 14 years, a dishonorable discharge, and a reduction to the lowest enlisted rank. The convening authority approved the findings and, acting pursuant to the terms of a pretrial agreement, reduced the term of confinement to  $13\frac{1}{2}$  years. The remainder of the sentence was approved as adjudged.

1. Petitioner does not challenge any aspect of his court-martial. His sole claim is directed to one narrow aspect of the military appellate process stemming from the decision by the Court of Military Appeals in *United States* v. *Grostefon*, 12 M.J. 431 (1982).

In Grostefon, the defendant's appointed military appellate counsel did not bring to the attention of the court of military review a claim that the defendant himself believed to be a valid basis for reversal. Drawing on the concerns discussed in Anders v. California, 386 U.S. 738 (1967), and the Second Circuit's decision in Barnes v. Jones, 665 F.2d 427 (1981), rev'd, 463 U.S. 745 (1983), the Court of Military Appeals concluded that an appointed military appellate defense lawyer should bring to the attention of military appellate courts any issue that his client wishes the court to consider. The purpose of the Grostefon rule is to ensure that no servicemember believes that his attorney, who is usually a military officer detailed to the task, has failed to raise a par-

ticular claim because of command influence. *United States* v. *Healy*, 26 M.J. 394, 397 (C.M.A. 1988). Thus, military appellate counsel are required "to invite the attention of the Court of Military Review or of [the Court of Military Appeals] to issues specified by an accused." *Ibid*.

- 2. After petitioner was convicted, he appealed his case to the Air Force Court of Military Review. Petitioner's counsel asserted that petitioner was denied a fair sentencing hearing because the prosecutor misstated petitioner's length of service during argument on the appropriate sentence. Assignment Of Errors And Brief On Behalf Of Accused (Jan. 18, 1989). No other errors were assigned. The court of military review considered and rejected that claim on the merits, and affirmed petitioner's sentence. Pet. App. 1a-3a.<sup>2</sup>
- 3. Petitioner then sought review in the Court of Military Appeals. Petitioner's counsel did not renew the claim that he had raised in the court of military review. Instead, petitioner's counsel filed a *Grostefon* brief based on an affidavit submitted by petitioner. Motion To File Affidavit (Dec. 6, 1989); Pet. App. 4a-7a. In the affidavit, petitioner recited a litary of complaints against his trial defense counsel and the Air Force. Petitioner's appellate counsel distilled

<sup>&</sup>lt;sup>1</sup> At trial, the prosecutor informed the trial judge that petitioner had slightly more than 17 years of prior service, instead of the correct amount of more than 20 years. Tr. 50; PX 1.

<sup>&</sup>lt;sup>2</sup> The Air Force Court of Military Review found that the trial judge had ample evidence before him of petitioner's actual length of service, notwithstanding the prosecutor's misstatement. Additionally, that court determined petitioner's sentence was appropriate for the offenses committed "regardless of his length of service." Pet. App. 3a.

those complaints into three errors that he then submitted to the Court of Military Appeals: (1) the government was negligent in trying petitioner's case; (2) petitioner was denied the effective assistance of counsel at trial; and (3) petitioner's sentence was inappropriate. Supplement To Petition For Grant Of Review (Dec. 6, 1989).

4. In order to have petitioner's allegations considered by a court with fact-finding power,<sup>3</sup> the government moved to have the case remanded to the court of military review. Motion For Remand (Dec. 8, 1989). The Court of Military Appeals granted the government's motion and remanded the case to the court of military review. Pet. App. 8a. The Air Force Court of Military Review subsequently affirmed petitioner's convictions and sentence a second time, finding that his assertions were "devoid of merit and totally unfounded." Pet. App. 6a.

5. Petitioner's appellate defense counsel filed the identical *Grostefon* brief with the Court of Military

The Court of Military Appeals has described this fact-finding authority as "awesome, plenary, de novo." United States v. Cole, 31 M.J. 270, 272 (C.M.A. 1990).

<sup>&</sup>lt;sup>3</sup> The Court of Military Appeals does not have fact-finding authority, but the courts of military review have such authority pursuant to Art. 66(c) of the UCMJ, 10 U.S.C. 866(c). Article 66(c) provides as follows:

In a case referred to it, the Court of Military Review may act only with respect to the findings and sentence as approved by the convening authority. It may affirm only such findings of guilty and the sentence or such part or amount of the sentence, as it finds correct in law and fact and determines, on the basis of the entire record, should be approved. In considering the record, it may weigh the evidence, judge the credibility of witnesses, and determine controverted questions of fact, recognizing that the trial court saw and heard the witnesses.

Appeals. Additional Supplement To Petition For Grant Of Review (July 6, 1990). The Court of Military Appeals granted review and summarily affirmed. Pet. App. 9a.

#### ARGUMENT

Petitioner maintains that the *Grostefon* rule violates due process, because an attorney who files a *Grostefon* brief is not acting as an advocate on behalf of his client. Pet. 6-7. That claim is clearly without merit.<sup>4</sup>

The California rule criticized in *Anders* allowed an attorney to withdraw from a case on appeal without filing a brief on behalf of an indigent defendant. The rule adopted in *Grostefon* clearly does not violate *Anders* because the *Grostefon* rule does not in any

That question does not require an answer in this case, however, since the question presented in the certiorari petition does not warrant review by this Court in any event.

<sup>4</sup> It is not clear that this question is subject to review in this Court. The statutes authorizing this Court to review by a writ of certiorari the judgments of the Court of Military Appeals, 10 U.S.C. 867(h) (1) and 28 U.S.C. 1259(3), restrict this Court's certiorari jurisdiction to "decisions" of the Court of Military Appeals. Section 867(h) (1) of Title 10 further provides that'this Court may not review by certiorari "any action of the Court of Military Appeals in refusing to grant a petition for review." The Court of Military Appeals has the statutory authority to limit its decisions in any case to less than all of the questions presented by a defendant. 10 U.S.C. 867(d). Petitioner did not present to the Court of Military Appeals the due process claim that petitioner has now raised in his certiorari petition. Accordingly, it is not clear that that court rendered a "decision[]" on the question that petitioner has presented in his petition, within the meaning of 10 U.S.C. 867(h)(1).

way release the military appellate defense lawyer from his obligation to serve as an advocate for his client. The *Grostefon* rule simply imposes an additional requirement atop the one required by military law and *Anders*.

Under military law, a servicemember is entitled to be represented by military appellate defense counsel at government expense before the courts of military review, the Court of Military Appeals, and this Court, regardless of indigency. Art. 70, UCMJ, 10 U.S.C. 870; Rule for Courts-Martial 1202, Manual for Courts-Martial, United States—1984 (Manual).5 Military appellate defense counsel are obligated to render their best professional efforts on behalf of their clients. Art. 70, UCMJ, 10 U.S.C. 870; United States v. Hullum, 15 M.J. 261, 267 (C.M.A. 1983).6 Petitioner does not claim that his appellate counsel provided less than competent representation, Pet. 3 n.2, and there is no indication in the record that petitioner's appellate counsel failed in any way to fulfill her professional responsibilities.

Grostefon does not allow military appellate defense counsel to withdraw from a case simply by filing with a military appellate court a list of the claims that a servicemember believes should be heard by a

<sup>&</sup>lt;sup>5</sup> Servicemembers also have the right to be represented by civilian counsel at their own expense. 10 U.S.C. 870(d).

<sup>&</sup>lt;sup>6</sup> Military appellate counsel are subject to the same basic rules of professional responsibility as their civilian counterparts. See Rule 5.4, Air Force Rules of Professional Responsibility; Standard 4-8.4, Air Force Standards for the Administration of Criminal Justice; Department of the Army Pamphlet 27-26, Legal Services Rules of Professional Conduct for Lawyers, Rule 1.3; Coast Guard Military Justice Manual, Chapter 6, COMDTINST M5810.1B; Navy JAGINST 5803.1, Rules of Professional Conduct, Rule 1.1.

court. Rather, Grostefon imposed an additional requirement on counsel, beyond those required by the Anders decision; Grostefon required that defense counsel bring to the court's attention any claims that a servicemember himself believes should be considered. That rule, of course, is not constitutionally required. In Jones v. Barnes, 463 U.S. 745 (1983). this Court held that an indigent defendant has no constitutional right to require appointed appellate counsel to urge non-frivolous claims proposed by the defendant if his counsel, as a matter of professional judgment, decides not to advance them. But it is incorrect to suggest that the Grostefon rule (one that two Members of this Court believed was constitutionally required, see Jones v. Barnes, 463 U.S. at 755-764 (Brennan, J., dissenting)) is constitutionally prohibited just because the claims advanced by a servicemember defendant may often lack merit. Pet. 6. The Constitution requires only that an indigent defendant be given an attorney who can advocate his client's case. It does not require that the lawyer be free to silence his client over the latter's objection. Since the Grostefon rule does not in any way undermine a military defendant's right to the effective assistance of counsel or otherwise render the appellate proceedings unfair, it is not unconstitutional.

## CONCLUSION

The petition for a writ of certiorari should be denied.

Respectfully submitted.

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